

# Polynomial Invariants of Quasi-Alternating Links

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# Outline

- 1 Quasi-alternating links
- 2 The Q-polynomial of QA links
- 3 The Jones polynomial of QA links

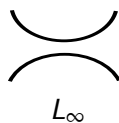
# QA links

## Definition

The set  $\mathcal{Q}$  of quasi-alternating links is the smallest set such that:

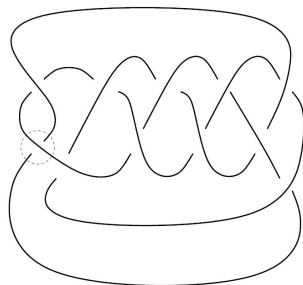
- The unknot belongs to  $\mathcal{Q}$ .
- If  $L$  is a link with a diagram  $D$  having a crossing  $c$  such that
  - 1 Both smoothing of  $D$  at  $c$ ,  $L_0$  and  $L_\infty$  are in  $\mathcal{Q}$ ,
  - 2  $\det(L_0), \det(L_\infty) \geq 1$ ,
  - 3  $\det(L) = \det(L_0) + \det(L_\infty)$ ; then  $L \in \mathcal{Q}$

We say that  $L$  is quasi-alternating at the crossing  $c$  with quasi-alternating diagram  $D$ .



# Examples

- 1) Any Alternating non-split link is QA (at any crossing in any alternating diagram)
- 2) The knot  $9_{47}$  is a QA non-alternating knot. Here is a QA diagram of  $9_{47}$ , at the indicated crossing.



# Properties

- 1 The branched double-cover of a QA link is an  $L$ -space [Ozsváth and Szabó];
- 2 The space of branched double-cover of a QA link bounds a negative definite 4-manifold  $W$  with  $H_1(W) = 0$ , [Ozsváth and Szabó];
- 3 The  $\mathbb{Z}/2\mathbb{Z}$  knot Floer homology group of a QA link is thin [Manolescu and Ozsváth];
- 4 The reduced ordinary Khovanov homology group of a QA link is thin [Manolescu and Ozsváth];
- 5 The reduced odd Khovanov homology group of a QA link is thin, [Ozsváth, Rasmussen and Szabó];

# The Jones polynomial

The Jones polynomial is an isotopy invariant of oriented links defined by:

$$V_{\bigcirc}(t) = 1$$

$$tV_{L_+}(t) - t^{-1}V_{L_-}(t) = (\sqrt{t} + \frac{1}{\sqrt{t}})V_{L_0}(t),$$

where  $L_+$ ,  $L_-$  and  $L_0$  are 3 links as pictured below:



We define the  $\text{span}(V_L)$  as the difference between the highest and the lowest degree of  $t$  that appear in  $V_L(t)$ .

# Motivation: Alternating links

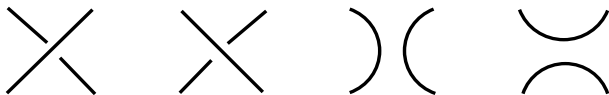
- If  $L$  is an alternating link, then  $\text{span}(V_L) = c(L)$ , where  $c(L)$  is the crossing number of  $L$ .
- $V_L(t)$  is an alternating polynomial.
- The coefficients of the highest and lowest degree in  $V_L(t)$  are both  $\mp 1$
- The Jones polynomial of a quasi-alternating link is also alternating. This can be seen as a consequence of its thin Khovanov homology.
- How about  $\text{span}V_L$  if  $L$  is a quasi-alternating link?

# Q-polynomials

For any link  $L$ ,  $Q_L(x)$  is a Laurent polynomial which can be defined by  $Q_{\bigcirc}(x) = 1$  and a recursive relation on link diagrams as follows:

$$Q_{L_+}(x) + Q_{L_-}(x) = x(Q_{L_0}(x) + Q_{L_\infty}(x))$$

where  $L_+$ ,  $L_-$ ,  $L_0$  and  $L_\infty$  are four links which are identical except in a small ball where they are as in the following picture





# Q-polynomials

- $Q_L(x) = F_L(1, x)$  where  $F$  is the two variable Kauffman polynomial.
- The constant term in  $Q_L(x)$  is odd. Consequently  $\deg Q_L \geq 0$ .
- If  $U$  is the unlink with  $k$  components then  $Q_U(x) = (2x^{-1} - 1)^{k-1}$ .

# Theorem

## Theorem [Qazaqzeh-C: AGT 2015]

For any quasi-alternating link  $L$ , we have  $\deg Q_L \leq \det(L) - 1$ , where  $\det(L)$  is the determinant of  $L$ .

**Example.** For the knot  $10_{132}$  we have:

$$Q_L(x) = 5 - 18x - 14x^2 + 38x^3 + 20x^4 \\ - 24x^5 - 12x^6 + 4x^7 + 2x^8.$$

$$\det(L) = \sqrt{Q_L(2)} = 5$$

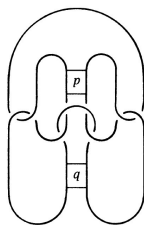
$\deg(Q_L) = 8 > \det(L) = 5$ , then  $10_{132}$  is not Quasi-alternating.

**Remark.** Our theorem does not characterize quasi-alternating links since the knot  $10_{128}$  for instance satisfies the inequality  $\deg(Q_L) < \det(L)$ , but not quasi-alternating since it is homologically thick in Khovanov homology.  
(same for the knots  $9_{46}$ ,  $11_{n50}$ )

Knot	Det.	Deg.	Knot	Det.	Deg.
$8_{19}$	3	6	$9_{42}$	7	7
$10_{124}$	1	8	$10_{132}$	5	8
$10_{139}$	3	8	$10_{145}$	3	8
$10_{153}$	1	8	$10_{161}$	5	6
$11n9$	5	9	$11n19$	5	9
$11n31$	3	9	$11n34$	1	9
$11n38$	3	9	$11n42$	1	9
$11n49$	1	9	$11n57$	7	9
$11n67$	9	9	$11n96$	7	9
$11n102$	3	9	$11n104$	3	9
$11n111$	7	9	$11n116$	1	7
$11n135$	5	7	$11n139$	9	9

Link	Det.	Deg.	Link	Det.	Deg.
$L8n3$	4	6	$L8n6$	0	6
$L8n8$	0	5	$L9n4$	4	7
$L9n9$	4	7	$L9n12$	6	7
$L9n15$	2	7	$L9n18$	2	7
$L9n19$	0	5	$L9n21$	4	6
$L9n27$	4	7			

# Application to Kanenobu Knots



Let  $K(p, q)$  be the Kanenobu knot

For any  $(p, q)$  we have  $\det(K(p, q)) = 25$ .

The degree of the  $Q$ -polynomial of any Kanenobu knot is computed by [Qazaqzeh and Mansour]:

$$\deg Q(K(p, q)) = \begin{cases} |p| + |q| + 6, & \text{if } pq \geq 0, \\ |p| + |q| + 5, & \text{otherwise,} \end{cases}$$

**Corollary.** There are only finitely many Kanenobu knots which are quasi alternating.

*Green's conjecture !!!*

# Improvement and Extension by Teragaito

## Theorem [Teragaito]

Let  $L$  be a Quasi-alternating link which is not a  $(2, n)$ -torus links.  
Then:

$$\deg Q_L < \det(L) - 1.$$

**Example:** For knot  $K = 10_{140}$ , we have  $\det(K) = 9$  and :

$Q_K(x) = 2x^8 + 4x^7 - 12x^6 - 22x^5 + 24x^4 + 32x^3 - 24x^2 - 12x + 9$ . So by Teragaito's Theorem  $K$  is not quasi-alternating. However, The non quasi-alternateness of  $K$  is not detected by the condition given in Qazaqzeh-C.

Similar results have been obtained by Teragaito for the two-variable Kauffman polynomial of QA links (other than  $(2, n)$  torus :  $\text{Deg}_z(F_L) \leq \det(L) - 2$ .



# Sketch of the Proof of the main Theorem

We first prove the following Lemma:

## Lemma

Let  $L$  be a link, then  $\deg Q_L \leq \max\{\deg Q_{L_0}, \deg Q_{L_\infty}\} + 1$ , where  $L_0, L_\infty$  are the smoothings of the link  $L$  at any crossing  $c$ .

Then we use induction on the determinant of  $L$ .

At a QA crossing, we have:

$$\begin{aligned}\deg Q_L &\leq \max\{\deg Q_{L_0}, \deg Q_{L_\infty}\} + 1 \\ &< \max\{\det(L_0), \det(L_\infty)\} + 1 \\ &< \det(L_0) + \det(L_\infty) = \det(L).\end{aligned}$$



The Jones polynomial is an isotopy invariant of oriented links defined by:

$$V_{\bigcirc}(t) = 1$$

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where  $L_+$ ,  $L_-$  and  $L_0$  are 3 links as pictured below:



We define the  $\text{span}(V_L)$  as the difference between the highest and the lowest degree of  $t$  that appear in  $V_L(t)$ .

## Conjecture

If  $L$  is a quasi-alternating link, then  $\text{Span}V_L \leq \det(L)$ .

- This conjecture is weaker than the QQJ Conjecture: If  $L$  is QA then  $c(L) \leq \det(L)$ , where  $c(L)$  is the crossing number of  $L$ .
- The conjecture is True for any alternating (non split) link.
- The conjecture is True for any quasi-alternating link with braid index less than or equal to 3.

# Proof of the Conjecture for closed 3-braids

$B_n$  be the braid group on  $n$  strings with generators  $\sigma_1, \sigma_2, \dots, \sigma_{n-1}$  subject to the following relations:

$$\begin{aligned} \sigma_i \sigma_j &= \sigma_j \sigma_i \text{ if } |i - j| \geq 2 \\ \sigma_i \sigma_{i+1} \sigma_i &= \sigma_{i+1} \sigma_i \sigma_{i+1}, \quad \forall 1 \leq i \leq n - 2. \end{aligned}$$



Figure: The generators  $\sigma_1$  and  $\sigma_2$  of  $B_3$  respectively

Closed 3-braids have been classified by Murasugi

### Theorem [Murasugi]

Let  $b$  be a 3-braid and let  $h = (\sigma_1\sigma_2)^3$  be a full positive twist. Then  $b$  is conjugate to exactly one of the following:

- 1  $h^n \sigma_1^{p_1} \sigma_2^{-q_1} \dots \sigma_1^{p_s} \sigma_2^{-q_s}$ , where  $s, p_i$  and  $q_i$  are positive integers.
- 2  $h^n \sigma_2^m$  where  $m \in \mathbb{Z}$ .
- 3  $h^n \sigma_1^m \sigma_2^{-1}$ , where  $m \in \{-1, -2, -3\}$ .

## Theorem [Baldwin]

Let  $L$  be a closed 3-braid, then

- 1 If  $L$  is the closure of  $h^n \sigma_1^{p_1} \sigma_2^{-q_1} \dots \sigma_1^{p_s} \sigma_2^{-q_s}$ , where  $s, p_i$  and  $q_i$  are positive integers, then  $L$  is quasi-alternating if and only if  $n \in \{-1, 0, 1\}$ .
- 2 If  $L$  is the closure of  $h^n \sigma_2^m$ , then  $L$  is quasi-alternating if and only if either  $n = 1$  and  $m \in \{-1, -2, -3\}$  or  $n = -1$  and  $m \in \{1, 2, 3\}$ .
- 3 If  $L$  is the closure of  $h^n \sigma_1^m \sigma_2^{-1}$  where  $m \in \{-1, -2, -3\}$ . Then  $L$  is quasi-alternating if and only if  $n \in \{0, 1\}$ .

**Proposition.** Suppose that  $L = h^n \sigma_1^{p_1} \sigma_2^{-q_1} \dots \sigma_1^{p_s} \sigma_2^{-q_s}$ , where  $s, p_i$  and  $q_i$  are positive integers. Let  $p = \sum_{i=1}^s p_i$  and  $q = \sum_{i=1}^s q_i$ .

If  $n$  is odd, then

$$\det(L) = 4 + pq +$$

$$\sum_{k=2, i_1 < \dots < i_k}^s p_{i_1} \dots p_{i_k} (q_{i_1} + \dots + q_{i_{k-1}}) \dots (q_{i_{k-1}} + \dots + q_{i_k}) (q - (q_{i_1} + \dots + q_{i_{k-1}})).$$

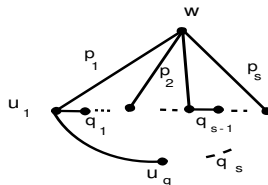
If  $n$  is even, then

$$\det(L) = pq +$$

$$\sum_{k=2, i_1 < \dots < i_k}^s p_{i_1} \dots p_{i_k} (q_{i_1} + \dots + q_{i_{k-1}}) \dots (q_{i_{k-1}} + \dots + q_{i_k}) (q - (q_{i_1} + \dots + q_{i_{k-1}}))$$

# Proof of Proposition

- Use Tait graph to compute the determinant of the alternating link  $L' = \sigma_1^{p_1} \sigma_2^{-q_1} \dots \sigma_1^{p_s} \sigma_2^{-q_s}$



- Then use Birman's formula for the Jones polynomial of closed 3-braids to compute the determinant of the link  $L$ .

**Remark.** For each of the two other cases in Baldwin's theorem, we have explicit formula for the Jones polynomial, hence for the determinant.

# Proof for 3-braids

Case by case check of the  $\text{Span}V_L$  (or the crossing number) and the determinant.