# 強可逆結び目の不変ザイフェルト曲面

日浦 涼太

Hiroshima Univ.

December 23, 2016

### **Basic Definitions**

We first recall basic definitions in knot theory.

### Definition (Seifert Surface)

A **Seifert surface** for a knot  $K \subset S^3$  is an embedded orientable surface  $S \subset S^3$  with  $\partial S = K$ .

### Theorem (Seifert's Theorem)

Every knot in  $S^3$  bounds a Seifert surface.

### **Definition (Genus)**

The **genus** of a knot K is defined:

$$g(K) := \min\{g(S) \mid S : \text{ a Seifert surface for } K\}.$$

### Periodic Knot

### Definition (Periodic Knot)

A knot  $K \subset S^3$  is called a **periodic knot of period** n if there exists a periodic map  $\varphi: (S^3, K) \to (S^3, K)$  of period n such that

- $\operatorname{Fix}(\varphi) \cong S^1$ ,
- $\operatorname{Fix}(\varphi) \cap K = \emptyset$ .

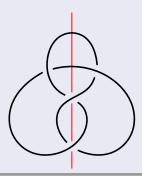


# Strongly Invertible Knot

### Definition (Strongly Invertible Knot)

A knot K is **strongly invertible** if there exists an inversion  $h:(S^3,K)\to(S^3,K)$  such that

- $Fix(h) = S^1$ ,
- $Fix(h) \cap K = \{2 \text{ pts}\}.$



# **Previous Study**

### Theorem [Edmonds-Livingston, 1983]

For any periodic knot  $K\subset S^3$  with a periodic map  $\varphi$ , there exists an "incompressible" Seifert surface S for K such that  $\varphi(S)=S$ . In particular, if K is a fibered knot, then S is a minimal genus Seifert surface.

So it is natural to ask the following question.

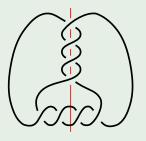
#### Question

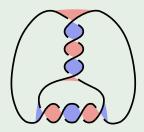
What about for strongly invertible knots?

### **Fact**

#### **Fact**

There is a strongly invertible knot which admits no invariant Seifert surface of minimal genus.





This knot has exactly two minimal genus Seifert surfaces  $S_1$  and  $S_2$  up to isotopy.

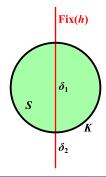
But the strong inversion h interchanges  $S_1$  and  $S_2$ .

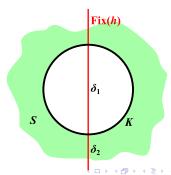
### Question 1

#### Question 1

Does every strongly invertible knot  $(K,\,h)$  have an invariant Seifert surface? Here an invariant Seifert surface for  $(K,\,h)$  is a Seifert surface for K such that h(S)=S.

**Remark:** If S is an invariant Seifert surface for K, then  $S \cap \operatorname{Fix}(h)$  is a sub-arc of  $\operatorname{Fix}(h) \cong S^1$  bounded by  $\operatorname{Fix}(h) \cap K = S^0$ .





## Question 1 (Refined)

### Question 1 (Refined)

For a strongly invertible knot (K, h), let  $\delta_1$  and  $\delta_2$  be the sub-arcs of Fix(h) bounded by  $Fix(h) \cap K$ .

For each i=1, 2, does there exist an invariant Seifert surface  $S_i$  for (K, h) such that  $S_i \cap Fix(h) = \delta_i$ ?

### Result 1 (H)

Yes.

In first part of this talk, we give a positive answer to this question.

There is an algorithm to construct an invariant Seifert surface for a given strongly invertible knot.

### Question 2

#### Question 2

Can the gaps between the invariant genera and the genera be arbitrarily large?

### Result 2 (H)

Yes.

$$\exists \{K_n\}_{n\in\mathbb{N}}; \ \forall N\in\mathbb{N}, \ \exists n\in\mathbb{N}; \ g(K_n, h, \delta_i) - g(K_n) > N.$$

### **Definition (Invariant Genus)**

The invariant genus of  $(K, h, \delta_i)$  is defined:

$$g(K,\,h,\,\delta_i)$$
 :=  $\min\{g(S)\mid S$ : an  $h$ -invariant Seifert surface with  $\delta_i\subset S\}$ .



## Basic Observation (1/2)

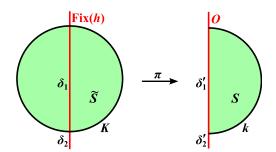
(K, h): a strongly invertible knot.

 $\pi: S^3 \to S^3/h \cong S^3$ .

 $O := \pi(\operatorname{Fix}(h)), \ \delta'_i := \pi(\delta_i), \ k := \pi(K).$ 

 $\widetilde{S}$ : an invariant Seifert surface for  $(K,\,h)$  containing  $\delta_1\subset \mathrm{Fix}(h)$ .

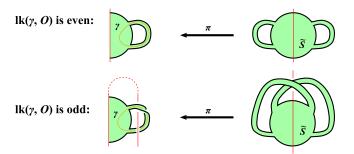
Then  $S:=\pi(\widetilde{S})$  is a (possibly non-orientable) surface in  $S^3/h$  satisfying the following two conditions.



## Basic Observation (2/2)

Condition (ii)  $\forall \gamma \subset \text{int}(S)$ : a loop,

 $\gamma$  is an orientation preserving loop  $\iff \operatorname{lk}(\gamma, O) \equiv 0 \pmod 2$ ,  $\gamma$  is an orientation reversing loop  $\iff \operatorname{lk}(\gamma, O) \equiv 1 \pmod 2$ .



### **Proposition**

If  $S\subset S^3/h$  is a surface satisfying Conditions (i) and (ii), then  $\widetilde{S}:=\pi^{-1}(S)$  is an invariant Seifert surface for  $(K,\,h).$ 

## An Algorithm to Construct An Invariant Seifert Surface

(K, h): a strongly invertible knot.

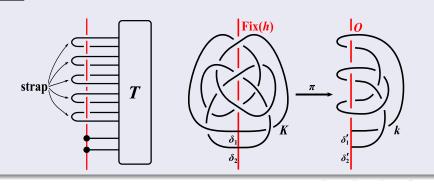
$$\pi: S^3 \to S^3/h \cong S^3.$$

$$O := \pi(\operatorname{Fix}(h)), \ \delta'_i := \pi(\delta_i), \ k := \pi(K).$$

 $\theta(K, h) := k \cup O$ .

### An Algorithm (1/3)

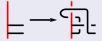
Step 1. Modify  $\theta(K, h)$  as in the following figure.



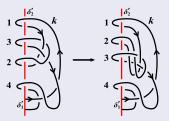
## An Algorithm to Construct An Invariant Seifert Surface

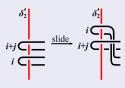
### An Algorithm (2/3)

<u>Step 2</u>. Modify  $\theta(K, h)$  as in the following figure around "straps" for  $\theta(K, h)$ . <u>Step 3</u>. Modify further  $\theta(K, h)$  to make the number of "straps" even.



<u>Step 4</u>. Fix an orientation of k, and number the "straps" according to the orientation. Rearrange the "straps" by isotopy, so that they link  $\delta_2' \subset O$  from the top to the bottom according to the order.





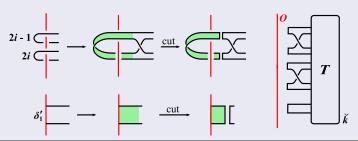
## An Algorithm to Construct An Invariant Seifert Surface

### An Algorithm (3/3)

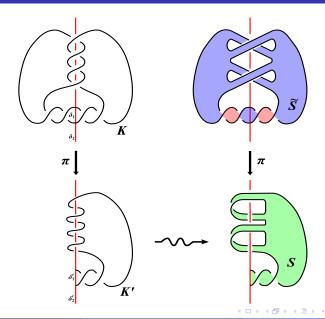
<u>Step 5.</u> Attach the bands  $\{B_i\}$  for  $\delta_1'$  and each pair of two successive "straps."

<u>Step 6</u>. By cutting off the bands  $\{B_i\}$  constructed in *Step 5*, we obtain a split link  $O \cup \check{k}$  from  $\theta(K,h)$ . By applying Seifert's algorithm to  $\check{k}$ , we obtain the Seifert surface  $\check{S}$  for  $\check{k}$  which is separated from O.

Then  $S:=\check{S}\cup(\bigcup B_i)$  satisfies Conditions (i) and (ii). Hence  $\widetilde{S}:=\pi^{-1}(S)$  is an invariant Seifert surface for  $(K,\,h)$ .



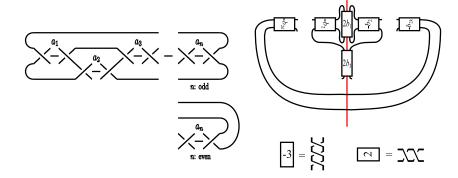
# An Example



## The Conway Notation

K: a 2-bridge knot.

If we describe K as in the following figure, then K is denoted by  $C(a_1,\ldots,a_n)$ .

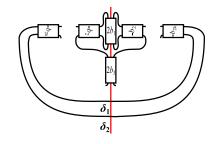


# Invariant Seifert Surface for 2-Bridge Knots

$$K=C(2b_1,\ldots,\,2b_{2k}).$$

*h*: a strong inversion as in figure.

Then



### Theorem (H)

$$g(K, h, \delta_1) = \sum_{i: odd} |b_i|.$$

In particular,

$$g(K, h, \delta_1) - g(K) = \sum_{i: odd} |b_i| - k = \sum_{i: odd} (|b_i| - 1).$$



### **Basic Observation**

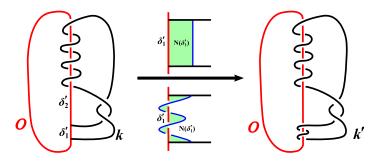
 $\widetilde{S}$ : an invariant Seifert surface for (K, h) with  $\delta_1 \subset \widetilde{S}$ .

 $S := \pi(S).$ 

 $N(\delta_1') \subset S$ : a regular neighborhood of  $\delta_1'$ .

 $S' := cl(S - N(\delta'_1)), \ k' := \partial S', \ K' := k' \cup O.$ 

Note that k' might "link" O around  $\delta'_1$ .



Observe that  $K' := k' \cup O$  is the 2-bridge link

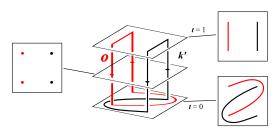
 $C(4b_1, b_2, 4b_3, b_4, \ldots, b_{2k}, 2m)$  for some  $m \in \mathbb{Z}$ .

### **Normalization**

$$S_t^2 := S^2 \times \{t\} \subset S^2 \times \mathbb{R} \subset S^3.$$

We assume that  $K' = O \cup k' \subset S^2 \times [0, 1] \subset S^3$  satisfies the following conditions:

- $K' \cap S_1^2$  is a pair of mutually disjoint arcs of slope 1/0.
- $K' \cap S_0^2$  is a pair of mutually disjoint arcs of slope p/q.
- $\bullet \ \ K'\cap S^2_t \ (\forall t\in (0,\,1)) \ \mbox{consists of four points}.$
- $\#(O \cap S_t^2) = 2, \ \#(k' \cap S_t^2) = 2.$



## Claims (1/4)

 $\widetilde{S}$ : an invariant Seifert surface for (K, h).

 $S := \pi(S)$ .

 $N(\delta_1') \subset S$ : a regular neighborhood of  $\delta_1'$ .

 $S' := \operatorname{cl}(S - \operatorname{N}(\delta_1')), \ k' := \partial S, \ K' := k' \cup O.$ 

#### Claim 1

$$\chi(\widetilde{S}) = 2\chi(S) - 1$$
 and  $\chi(S) = \chi(S')$ .

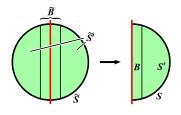
$$S = S' \underset{I}{\cup} B.$$

$$\widetilde{S} = \widetilde{S}' \underset{2I}{\cup} \widetilde{B}.$$

$$\chi(\widetilde{S}) = \chi(\widetilde{S}') + \chi(\widetilde{B}) - 2\chi(I)$$

$$= 2\chi(S') + 1 - 2$$

$$= 2\chi(S) - 1.$$



# Claims (2/4)

 $\widetilde{S}\subset S^3$  : an invariant Seifert surface such that  $g(\widetilde{S})=g(K,\,h,\,\delta_1).$  Then

### Claim 2

 $S'\subset S^3/h-{\rm N}(K')$  is incompressible and  $\partial$ -incompressible surface satisfying Conditions (i)' and (ii)'.

Condition (i)'  $\partial S' = k', S' \cap O = \emptyset.$ 

Condition (ii)'  $\forall \gamma \subset \operatorname{int}(S')$ : a loop,

 $\gamma$  is an orientation preserving loop  $\iff \operatorname{lk}(\gamma, O) \equiv 0 \pmod 2$ ,  $\gamma$  is an orientation reversing loop  $\iff \operatorname{lk}(\gamma, O) \equiv 1 \pmod 2$ .



# Claims(3/4)

 $S' := \operatorname{cl}(S - \operatorname{N}(\delta_1')).$ 

We regard a saddle of S' in the way as a band  $B=I\times I$  attached at its two ends  $(\partial I)\times I$  to S'.

### Claim 3 (cf. Hatcher-Thurston, 1985)

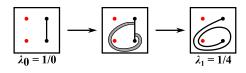
For each  $t \in (0, 1)$ ,  $S' \cap S_t^2$  is an arc  $\alpha$  such that  $\partial \alpha \subset k'$  and  $\alpha \cap O = \emptyset$ .

#### Claim 4

Each saddle of S' has the following form up to homeo.



## Claims(4/4)



 $\lambda_0=1/0,\,\lambda_1,\,\ldots,\,\lambda_l=p/q$ : the sequence of slopes of  $S'\cap S_t^2$  from the top to the bottom s.t.  $\lambda_i\neq\lambda_{i+1}$ .

Then

### Claim 5 (cf. Hatcher-Thurston, 1985)

If S' is incompressible and  $\partial$ -incompressible, then it can be isotoped (rel K') such that  $\lambda_i \neq \lambda_{i+2}$  for each i.

Since the dual graph of the Farey tessellation is a tree, we can evaluate the number of saddles.

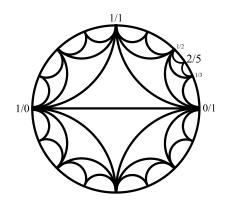
Hence we can calculate the minimum genus of an invariant Seifert surface for 2-bridge knots.

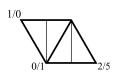
## Farey Tessellation

The Farey tessellation is the diagram as in the following figure.

There is an edge joining two fractions a/b and c/d whenever  $ad-bc=\pm 1$ . The edge from a/b to c/d is the long side of triangle whose third vertex is (a+c)/(b+d).

There is the sequence of triangles from 1/0 to p/q.



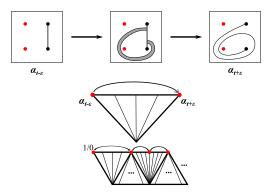


### **Evaluation of Saddles**

$$K = C(2b_1, \ldots, 2b_{2k}), K' = C(4b_1, b_2, 4b_3, b_4, \ldots, b_{2k}, 2m).$$

The relative condition between the slopes of  $\alpha_{t-\varepsilon}$  and  $\alpha_{t+\varepsilon}$  is as in the following figures.

Since the dual graph of the Farey tessellation is a tree, we need at least  $\sum_{i:\ odd}|b_i|$  saddles.



### Calculation of the Invariant Genus

$$K = C(2b_1, \ldots, 2b_{2k}).$$
  
$$n := \sum_{i: odd} |b_i|.$$

Thus S' obtains from  $D^2$  by attaching n bands. Here,

$$\chi(S') = \chi(D^2) + n\chi(\mathsf{band}) - 2n\chi(I)$$
$$= 1 + n - 2n$$
$$= 1 - n.$$

By using Claim 1,

$$\chi(\widetilde{S}) = 2\chi(S') - 1$$
$$= 2(1 - n) - 1$$
$$= 2 - 2n.$$

Hence.

$$g(\widetilde{S}) = n = \sum_{i: odd} |b_i|.$$



### Result 2

$$K=C(2b_1,\ldots,2b_{2k}).$$

### Theorem (H)

$$g(K, h, \delta_1) = \sum_{i: odd} |b_i|.$$

In particular,

$$g(K, h, \delta_1) - g(K) = \sum_{i: odd} |b_i| - k = \sum_{i: odd} (|b_i| - 1).$$

#### Question

Can  $g(K, h, \delta_2) - g(K)$  and g(K, h) - g(K) be also arbitrarily large?

### **Definition (Invariant Genus)**

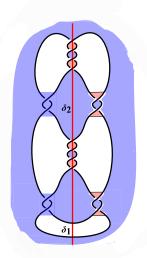
The invariant genus of (K, h) is defined:

$$g(K, h) := \min_{i=1, 2} \{g(K, h, \delta_i)\}.$$

$$K_n := C\underbrace{(4, 4, \dots, 4)}_{2\mathsf{n}}$$

Then

$$g(K_n, h) - g(K_n) = n?$$



# Thank You for Your Attention!