

Seifert fibered surgeries with distinct primitive/Seifert positions

Mario Eudave-Muñoz

*Instituto de Matemáticas, Universidad Nacional Autónoma de México, Circuito Exterior, Ciudad Universitaria 04510 México DF, México
and CIMAT, Guanajuato, México*

Katura Miyazaki

Faculty of Engineering, Tokyo Denki University, 2-2 Kanda-Nishikicho, Tokyo 101-8457, Japan

Kimihiko Motegi

Department of Mathematics, Nihon University, 3-25-40 Sakurajosui, Setagaya-ku, Tokyo 156-8550, Japan

Abstract

We call a pair (K, m) of a knot K in the 3-sphere S^3 and an integer m a Seifert fibered surgery if m -surgery on K yields a Seifert fiber space. For most known Seifert fibered surgeries (K, m) , K can be embedded in a genus 2 Heegaard surface of S^3 in a primitive/Seifert position, the concept introduced by Dean as a natural extension of primitive/primitive position defined by Berge. Recently Guntel has given an infinite family of Seifert fibered surgeries each of which has distinct primitive/Seifert positions. In this paper we give yet other infinite families of Seifert fibered surgeries with distinct primitive/Seifert positions from a different point of view.

Key words: Dehn surgery, Seifert fiber space, primitive/Seifert position
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Email addresses: mario@matem.unam.mx (Mario Eudave-Muñoz),
miyazaki@cck.dendai.ac.jp (Katura Miyazaki),
motegi@math.chs.nihon-u.ac.jp (Kimihiko Motegi).

1 Introduction

Let (K, m) be a pair of a knot K in S^3 and an integer m , and denote by $K(m)$ the manifold obtained from S^3 by m -surgery on K . We say that (K, m) is a *Seifert fibered surgery* if $K(m)$ is a Seifert fiber space. We regard that two Seifert fibered surgeries (K, m) and (K', m') are the *same* if K has the same knot type as K' (i.e. K is isotopic to K' in S^3) and $m = m'$. For a genus 2 handlebody H and a simple closed curve c in ∂H , we denote H with a 2-handle attached along c by $H[c]$.

Let $S^3 = V \cup_F W$ be a genus 2 Heegaard splitting of S^3 , i.e. V and W are genus 2 handlebodies in S^3 with $V \cap W$ a genus 2 Heegaard surface F . It is known that such a splitting is unique up to isotopy in S^3 [17]. We say that a Seifert fibered surgery (K, m) has a *primitive/Seifert position* (F, K', m) if K' is a simple closed curve in a genus 2 Heegaard surface F such that $K'(\subset S^3)$ has the same knot type as K and satisfies the following three conditions.

- K' is *primitive* with respect to V , i.e. $V[K']$ is a solid torus.
- K' is *Seifert* with respect to W , i.e. $W[K']$ is a Seifert fiber space with the base orbifold $D^2(p, q)$ ($p, q \geq 2$).
- The *surface slope* of K' with respect to F (i.e. the isotopy class in $\partial N(K')$ represented by a component of $\partial N(K') \cap F$) coincides with the surgery slope m .

For the primitive/Seifert position (F, K', m) above, we define the *index set* $i(F, K', m)$ to be the set $\{p, q\}$.

In general, if a knot K in S^3 has a primitive/Seifert position with surface slope m , then K is strongly invertible ([14, Claim 5.3]) and $K(m) \cong V[K] \cup W[K]$ is a Seifert fiber space or a connected sum of lens spaces. In particular, if K is hyperbolic, then the latter case cannot happen by the positive solution to the cabling conjecture for strongly invertible knots [4].

The notion of primitive/Seifert position was introduced by Dean [2] as a natural modification of Berge's primitive/primitive position [1]. It is conjectured that all the lens surgeries have primitive/primitive positions [7]. On the other hand, there are infinitely many Seifert fibered surgeries with no primitive/Seifert positions [11,3,16]; nevertheless the majority of Seifert fibered surgeries have such positions. Let (K, m) be a Seifert fibered surgery with two primitive/Seifert positions (F_1, K_1, m) and (F_2, K_2, m) . Then, we say that (F_1, K_1, m) and (F_2, K_2, m) are the *same* if there is an orientation preserving homeomorphism f of S^3 such that $f(F_1) = F_2$ and $f(K_1) = K_2$; otherwise, they are *distinct*. It is natural to ask whether a Seifert fibered surgery (K, m) can have distinct primitive/Seifert positions. Recently Guntel [8] has given an infinite family of such examples. Her examples are twisted torus knots stud-

ied by Dean [2]. Among them, she finds infinitely many pairs of knots K_1, K_2 which have primitive/Seifert positions with the same surface slopes, and shows that K_1, K_2 are actually the same as knots in S^3 , but their primitive/Seifert positions are distinct.

Theorem 1.1 ([8]) *There exist infinitely many Seifert fibered surgeries each of which has distinct primitive/Seifert positions.*

Remark 1.2 In Theorem 1.1, we can choose a Seifert fibered surgery (K, m) with distinct primitive/Seifert positions so that K is a hyperbolic knot whose complement $S^3 - K$ has an arbitrarily large volume.

In the present paper, we give yet other families of Seifert fibered surgeries with distinct primitive/Seifert positions from a different point of view. Our examples are twisted torus knots studied in [13,14] (Theorem 2.1), and also Seifert fibered surgeries constructed by the Montesinos trick in [5,6] (Theorem 3.3). We find infinitely many knots such that each knot K lies in two genus 2 Heegaard surfaces F_1, F_2 with the same surface slopes m , and (F_1, K, m) and (F_2, K, m) are distinct primitive/Seifert positions.

We use Lemma 1.3 to show that two primitive/Seifert positions are distinct.

Lemma 1.3 *Two primitive/Seifert positions (F_1, K_1, m) and (F_2, K_2, m) for a Seifert fibered surgery (K, m) are distinct if $i(F_1, K_1, m) \neq i(F_2, K_2, m)$.*

Proof of Lemma 1.3. Let us denote the Heegaard splitting of S^3 given by F_1 (resp. F_2) by $V \cup_{F_1} W$ (resp. $V' \cup_{F_2} W'$). We may assume that $V[K_1]$ (resp. $V'[K_2]$) is a solid torus, and $W[K_1]$ (resp. $W'[K_2]$) is a Seifert fiber space with the base orbifold $D^2(p, q)$ (resp. $D^2(p', q')$). Suppose for a contradiction that we have an orientation preserving homeomorphism f of S^3 such that $f(K_1) = K_2$ and $f(F_1) = F_2$. Then there are two cases to consider: $f(V) = V'$ or $f(V) = W'$. In the former case $f(W) = W'$ and we have also an orientation preserving homeomorphism $f_W : W[K_1] \rightarrow W'[K_2]$. This then implies that $\{p, q\} = \{p', q'\}$, i.e. $i(F_1, K_1, m) = i(F_2, K_2, m)$. This is a contradiction. In the latter $f(W) = V'$ and we have an orientation preserving homeomorphism $f_V : V[K_1] \rightarrow W'[K_2]$. However, this is impossible because $V[K_1]$ is a solid torus and $W'[K_2]$ is a Seifert fiber space over the base orbifold $D^2(p', q')$ ($p', q' \geq 2$).
□(Lemma 1.3)

2 Seifert fibered surgeries which have distinct primitive/Seifert positions I

Let V_1 be a standardly embedded solid torus in S^3 ; denote the solid torus $S^3 - \text{int}V_1$ by V_2 . Let $T_{p,q}$ be a torus knot which lies in ∂V_1 and wraps p times meridionally and q times longitudinally in V_1 . Take a trivial knot $c_{p,q}$ in $S^3 - T_{p,q}$ as in Figure 1; $c_{p,q} \cap V_i$ consists of a single properly embedded arc in V_i which is parallel to ∂V_i . Note that the linking number $\text{lk}(T_{p,q}, c_{p,q})$ with orientations indicated in Figure 1 is $p + q$, and that $c_{p,q}$ is a meridian of $T_{p,q}$ if $|p + q| = 1$. So in the following we assume $|p + q| > 1$. We denote by $K(p, q, p + q, n)$ the twisted torus knot obtained from $T_{p,q}$ by twisting n times along $c_{p,q}$. As shown in [12, Claim 9.2] ([3, Theorem 3.19(3)]), $T_{p,q} \cup c_{p,q}$ is a hyperbolic link in S^3 . Hence by [3, Proposition 5.11] $K(p, q, p + q, n)$ is a hyperbolic knot if $|n| > 3$. In the following, for simplicity, we denote $c_{p,q}$ by c .

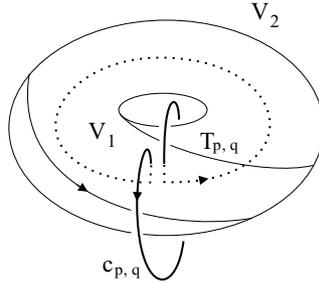


Fig. 1.

In [14] it is shown that $(pq + n(p + q)^2)$ -surgery on $K(p, q, p + q, n)$ yields a Seifert fiber space over S^2 with at most three exceptional fibers of indices $|p|, |q|, |n|$. If $n = 0$, then it is a connected sum of two lens spaces, if $n = \pm 1$, then it is a lens space. In fact, as shown in [3], $(K(p, q, p + q, \varepsilon), pq + \varepsilon(p + q)^2)$ is a Berge's lens surgery [1] of Type VII or VIII according as $\varepsilon = 1$ or -1 .

Theorem 2.1 *Each Seifert fibered surgery $(K(p, q, p + q, n), pq + n(p + q)^2)$ ($n \neq 0, \pm 1$) has distinct primitive/Seifert positions.*

The proof of Corollary 4.8 in [3] shows that for any r there are p and q such that for infinitely many n , $K(p, q, p + q, n)$ is a hyperbolic knot whose complement in S^3 has volume greater than r . Hence, Theorem 2.1 implies Theorem 1.1 and Remark 1.2.

Proof of Theorem 2.1. We follow the argument given in the proof of [14, Proposition 5.2]. Let us put $\tau_i = c \cap V_i$ ($i = 1, 2$); $c = \tau_1 \cup \tau_2$. Then $H_1 = V_1 - \text{int}N(c)$ and $H_2 = V_2 - \text{int}N(c)$ are genus 2 handlebodies, and $T_{p,q}$ lies on $\partial V_i - \text{int}N(c) = H_1 \cap H_2$. Note that $H_1 \cup H_2 = S^3 - \text{int}N(c)$; see Figure 2.

We denote by U the solid torus glued to $S^3 - \text{int}N(c)$ to construct the surgered

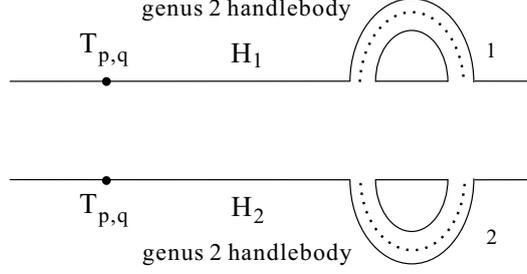


Fig. 2.

manifold $c(-\frac{1}{n})$. Let $A_i = U \cap H_i$; $A_i(\subset \partial H_i)$ is an annulus whose core is a meridian of c . Since $\tau_i(\subset V_i)$ is parallel to ∂V_i , there is a disk Δ_i in H_i such that $\partial \Delta_i$ is the union of an arc in the annulus A_i and an arc in $\partial H_i - \text{int} A_i$. Note that $N(\Delta_i) \cup U$ and the closure of $H_i - N(\Delta_i)$ are solid tori, and their intersection is a disk. This implies that $H_i \cup U = (N(\Delta_i) \cup U) \cup (H_i - N(\Delta_i))$ is a genus 2 handlebody for $i = 1, 2$.

Lemma 2.2 $(H_1 \cup U) \cup_F H_2$ and $H_1 \cup_{F'} (H_2 \cup U)$ are both genus 2 Heegaard splitting of $S^3 = c(-\frac{1}{n})$, where $F = \partial(H_1 \cup U) = \partial H_2$ and $F' = \partial(H_2 \cup U) = \partial H_1$.

Let $\{\mu, \lambda\}$ be a meridian-longitude basis for $H_1(\partial N(c))$. Then, a meridian and thus a longitude of U represent $-n\lambda + \mu$ and λ in $H_1(\partial N(c))$, respectively. It follows that a meridian of $N(c)$ winds U n times longitudinally. We thus have the following.

Lemma 2.3 *The core of the annulus $A_i(\subset \partial U)$ winds U n times longitudinally.*

The twisted torus knot $K(p, q, p + q, n)$ lies on F and F' . See Figure 3. In either case, the surface slope of $T_{p,q} = K(p, q, p + q, 0)$ is pq and the surface slope of $K(p, q, p + q, n)$ is the image of that of $T_{p,q}$ under n -twisting along c . Since $\text{lk}(T_{p,q}, c) = p + q$, the surface slope of $K(p, q, p + q, n)$ is $pq + n(p + q)^2$.

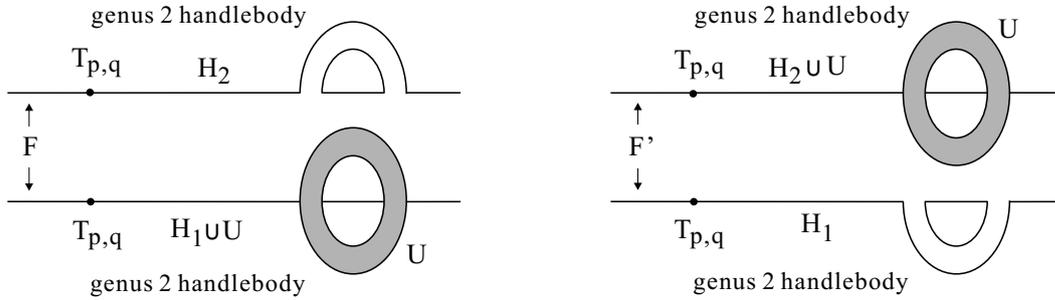


Fig. 3. Genus 2 Heegaard splittings carrying $T_{p,q}$

Lemma 2.4 (1) $H_1[T_{p,q}]$ is a fibered solid torus in which the core is an exceptional fiber of index $|q|$ and the core of A_1 is a regular fiber.

- (2) $H_2[T_{p,q}]$ is a fibered solid torus in which the core is an exceptional fiber of index $|p|$ and the core of A_2 is a regular fiber.

Proof of Lemma 2.4. See Lemma 9.1 in [12].

□(Lemma 2.4)

Lemma 2.5 (1) $(H_1 \cup U)[K(p, q, p + q, n)]$ is a Seifert fiber space over D^2 with two exceptional fibers of indices $|q|, |n|$.

- (2) $(H_2 \cup U)[K(p, q, p + q, n)]$ is a Seifert fiber space over D^2 with two exceptional fibers of indices $|p|, |n|$.

Proof of Lemma 2.5. First observe that $(H_1 \cup U)[K(p, q, p + q, n)] = H_1[T_{p,q}] \cup U$. Since a regular fiber of $H_1[T_{p,q}]$ contained in A_1 winds U n times longitudinally by Lemmas 2.3 and 2.4(1), $H_1[T_{p,q}] \cup U$ is a Seifert fiber space over D^2 with two exceptional fibers of indices $|q|, |n|$ as claimed in assertion (1). Assertion (2) follows in a similar fashion. □(Lemma 2.5)

Therefore the Seifert fibered surgery $(K(p, q, p + q, n), pq + n(p + q)^2)$ has primitive/Seifert positions in two ways.

- (1) $K(p, q, p + q, n)$ is primitive with respect to H_2 and Seifert with respect to $H_1 \cup U$; see Figure 4(i).
- (2) $K(p, q, p + q, n)$ is primitive with respect to H_1 and Seifert with respect to $H_2 \cup U$; see Figure 4(ii).

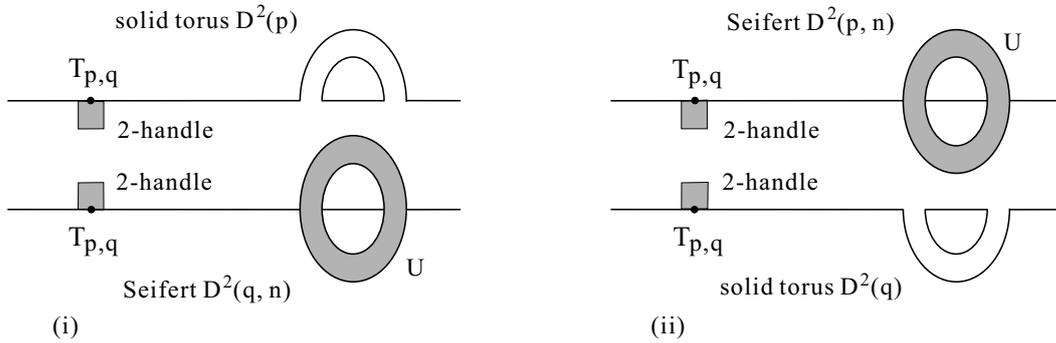


Fig. 4.

To complete the proof of Theorem 2.1 let us show that $(F, K(p, q, p + q, n), pq + n(p + q)^2)$ and $(F', K(p, q, p + q, n), pq + n(p + q)^2)$ are distinct primitive/Seifert positions. The former has index $\{|q|, |n|\}$ by Lemma 2.5(1), and the latter has index $\{|p|, |n|\}$ by Lemma 2.5(2). Since p and q are relatively prime, $|p| \neq |q|$. Then, by Lemma 1.3 they are distinct. □(Theorem 2.1)

Remark 2.6 Twisted torus knots $K(p, q, r, n)$ are obtained from torus knots $T_{p,q}$, roughly speaking, by twisting r strands of $T_{p,q}$ n times. In [2, Theorem 4.1], Dean obtains five classes of $K(p, q, r, \pm 1)$, where $p \geq 0, q \geq 0, 0 \leq r \leq p + q$, with primitive/Seifert positions. Let K be a knot in the classes. We can define H_1, H_2, U for K as for $K(p, q, p + q, n)$ above; then K is contained in two genus 2 Heegaard surfaces $F = \partial(H_1 \cup U), F' = \partial H_1$. Although $K(p, q, p + q, n)$ is primitive/Seifert with respect to both F and F' , in general K is primitive/Seifert with respect to F only.

3 Seifert fibered surgeries which have distinct primitive/Seifert positions II

A tangle (B, t) is a pair of a 3-ball B and two disjoint arcs t properly embedded in B . A tangle (B, t) is a *rational tangle* if there is a pairwise homeomorphism from (B, t) to the trivial tangle $(D^2 \times [0, 1], \{x_1, x_2\} \times [0, 1])$ where D^2 is the unit disk and x_1 and x_2 are distinct points in $\text{int}D^2$. Two rational tangles (B, t) and (B, t') are *equivalent* if there is a pairwise homeomorphism $h : (B, t) \rightarrow (B, t')$ such that $h|_{\partial B} = \text{id}$. We can construct rational tangles from sequences of integers $[a_1, a_2, \dots, a_n]$ as shown in Figure 5. Denote by $R(a_1, a_2, \dots, a_n)$ the associated rational tangle. Each rational tangle can be parametrized by $r \in \mathbb{Q} \cup \{\infty\}$, where the rational number r is given by the continued fraction below. Thus we denote the rational tangle corresponding to r by $R(r)$.

$$r = a_n + \frac{1}{a_{n-1} + \frac{1}{\ddots + \frac{1}{a_1}}}$$

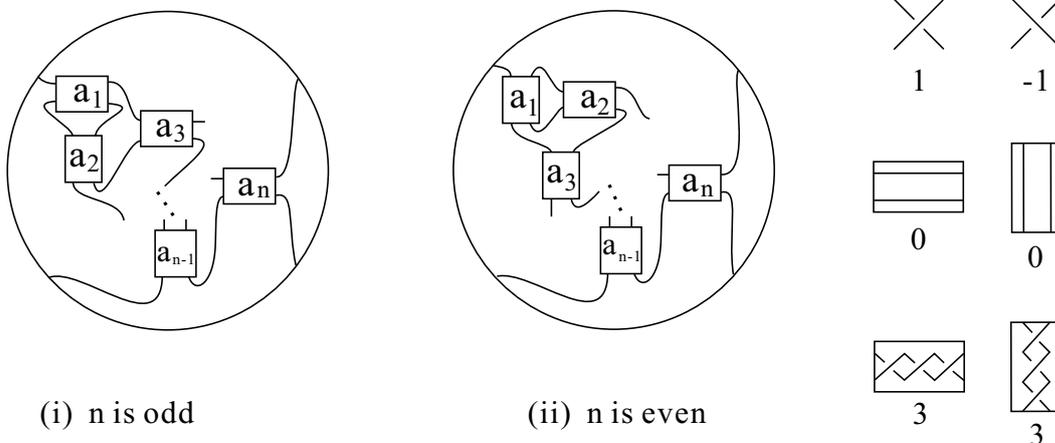


Fig. 5. Rational tangles

Let us consider the tangle $\mathcal{B}(A, B, C)$ given by Figure 6. In [6], the same tangle $\mathcal{B}(A, B, C)$ is defined by Figure 9(a) in [6]. However, the figure contains errors; four crossings of Figure 9(a) in [6] should be reversed. Figure 6 is the corrected diagram. The union of the tangle $\mathcal{B}(A, B, C) = (B_1, t_1)$ and a rational tangle $R(s) = (B_2, t_2)$ gives a pair $(S^3, \tau_s) = (B_1 \cup B_2, t_1 \cup t_2)$. We obtain τ_s , a knot or a link in S^3 . In Figure 6 we illustrate the union of $\mathcal{B}(A, B, C)$ and $R(\infty)$.

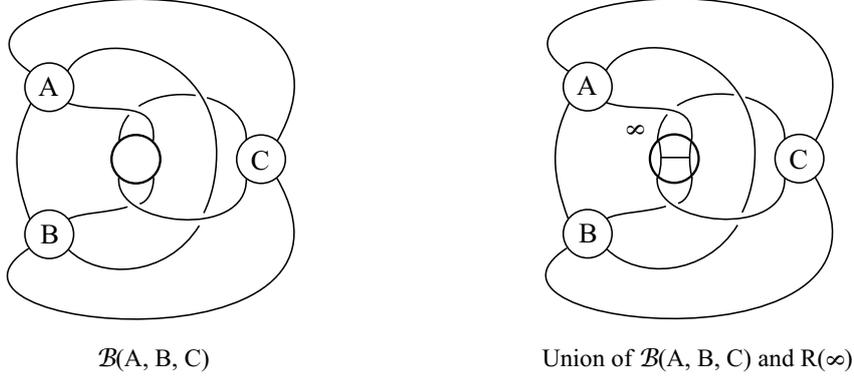


Fig. 6.

In the following, we assume that τ_∞ is a trivial knot in S^3 . Let $\pi_s : \widetilde{S^3}(s) \rightarrow S^3$ be the two-fold branched covering of S^3 along τ_s . Since τ_∞ is trivial, $S^3(\infty) = S^3$. For a subset X of S^3 , we often denote $\pi_s^{-1}(X)$ by $\widetilde{X}(s)$, and $\widetilde{X}(\infty)$ by \widetilde{X} for simplicity. Let κ be an arc connecting the two vertical strings of $R(\infty)$ as the horizontal arc in Figure 6. Then the preimage $\pi_\infty^{-1}(\kappa)$ is a knot in S^3 ; we denote $\pi_\infty^{-1}(\kappa)$ by $k(A, B, C)$. Since the two-fold branched covering of B_2 along the rational tangle t_2 is a solid torus, $\widetilde{B}_2(s)$ is a solid torus and in particular \widetilde{B}_2 is a tubular neighborhood of $k(A, B, C)$ in $\widetilde{S^3} = S^3$. Hence $\widetilde{S^3}(s)$ is obtained from S^3 by a Dehn surgery on $k(A, B, C)$. We denote the surgery slope by γ_s . For $(B_2, t_2) = R(s)$, if a properly embedded disk D in $B_2 - t_2$ separates the components of t_2 , then $\widetilde{D}(s) = \pi_s^{-1}(D)$ consists of two meridian disks of the glued solid torus $\widetilde{B}_2(s)$. Hence, a component of $\partial\widetilde{D}(s) = \partial\widetilde{D}$ in $\partial\widetilde{B}_2$ represents the surgery slope γ_s .

Although Figure 9(a) in [6] contains errors as mentioned above, Lemma 5.1 in [6] is correct and we have:

Lemma 3.1 (Lemma 5.1 in [6]) *τ_∞ is a trivial knot in S^3 if either (1) or (2) below holds, where l, m, n, p are integers. The solutions are the only ones, up to interchanging A and B ; note that there is a rotation interchanging them.*

- (1) $A = R(l), B = R(m, -l), C = R(-n, 2, m - 1, 2, 0)$
- (2) $A = R(l), B = R(p, -2, m, -l), C = R(m - 1, 2, 0)$

In case (1), we denote $k(A, B, C)$ by $k(l, m, n, 0)$. In case (2), we denote $k(A, B, C)$ by $k(l, m, 0, p)$. As shown in [5,6], $k(A, B, C)$ are mostly hyperbolic knots. See [5,6] for details.

The links τ_0 and τ_1 are Montesinos links with three branches indicated by the 3-balls B_A, B_B, B_C in Figures 7 and 8. Hence, $\widetilde{S^3}(s) = k(A, B, C)(\gamma_s)$, where $s = 0, 1$, is a Seifert fiber space whose exceptional fibers are the cores of $\widetilde{B}_A(s), \widetilde{B}_B(s), \widetilde{B}_C(s)$. Compute the rational numbers corresponding to the rational tangles $(B_A, B_A \cap \tau_s), (B_B, B_B \cap \tau_s), (B_C, B_C \cap \tau_s)$ such that A, B , and C satisfy (1) or (2) in Lemma 3.1; then, we obtain the indices of exceptional fibers of $k(A, B, C)(\gamma_s)$ as follows. If $(B_X, B_X \cap \tau_s)$ where $X \in \{A, B, C\}$ corresponds to a rational number $\frac{p}{q}$, then the Seifert invariant of the core of $\widetilde{B}_X(s)$ is $-\frac{q}{p}$, and the index is $|p|$.

Lemma 3.2 (corrected Proposition 5.4(2), (3), (5), (6) in [6])

- (1) (i) γ_0 -surgery on $k(l, m, n, 0)$ produces a Seifert fiber space over S^2 with three exceptional fibers, the cores of $\widetilde{B}_A(0), \widetilde{B}_B(0), \widetilde{B}_C(0)$, of indices $|l - 1|, |lm + m - 1|, |2mn - m - n + 1|$.
- (ii) γ_1 -surgery on $k(l, m, n, 0)$ produces a Seifert fiber space over S^2 with three exceptional fibers, the cores of $\widetilde{B}_A(1), \widetilde{B}_B(1), \widetilde{B}_C(1)$, of indices $|l + 1|, |lm - m - 1|, |2mn - m + n|$.
- (2) (i) γ_0 -surgery on $k(l, m, 0, p)$ produces a Seifert fiber space over S^2 with three exceptional fibers, the cores of $\widetilde{B}_A(0), \widetilde{B}_B(0), \widetilde{B}_C(0)$, of indices $|l - 1|, |2lmp - lm - lp + 2mp - m - 3p + 1|, |m - 1|$.
- (ii) γ_1 -surgery on $k(l, m, 0, p)$ produces a Seifert fiber space over S^2 with three exceptional fibers, the cores of $\widetilde{B}_A(1), \widetilde{B}_B(1), \widetilde{B}_C(1)$, of indices $|l + 1|, |2lmp - lm - lp - 2mp + m - p + 1|, |m|$.

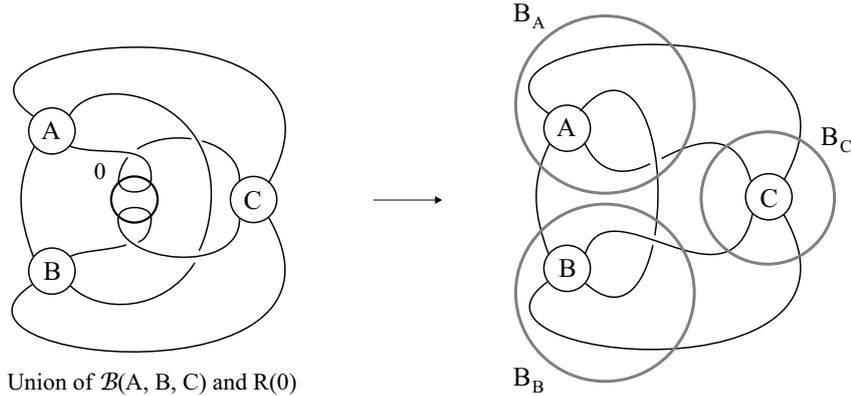


Fig. 7. $\mathcal{B}(A, B, C) \cup R(0)$

In [6] a method is given to find primitive/Seifert positions for Seifert fibered surgeries constructed via tangles and double branched covers, and this is used to show that each of the surgeries $(k(A, B, C), \gamma_s)$ ($s = 0, 1$) has a primitive/Seifert position. Using this method, we prove that each Seifert fibered surgery $(k(A, B, C), \gamma_s)$ ($s = 0, 1$) has distinct primitive/Seifert positions if the indices of the exceptional fibers which are the cores of $\widetilde{B}_A(s)$ and $\widetilde{B}_B(s)$ are not equal.

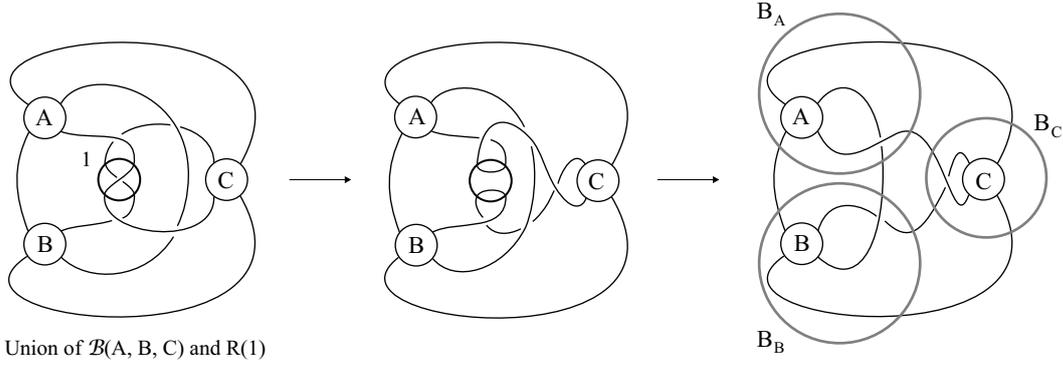


Fig. 8. $\mathcal{B}(A, B, C) \cup R(1)$

Theorem 3.3 *According as A, B, C satisfy (1) or (2) of Lemma 3.1 we assume the following.*

If A, B, C satisfy Lemma 3.1(1), assume that $|l - 1| \neq |lm + m - 1|$ if $s = 0$, and that $|l + 1| \neq |lm - m - 1|$ if $s = 1$.

If A, B, C satisfy Lemma 3.1(2), assume that $|l - 1| \neq |2lmp - lm - lp + 2mp - m - 3p + 1|$ if $s = 0$, and that $|l + 1| \neq |2lmp - lm - lp - 2mp + m - p + 1|$ if $s = 1$.

Then, each Seifert fibered surgery $(k(A, B, C), \gamma_s)$ ($s = 0, 1$) has distinct primitive/Seifert positions.

It follows from [6, Proposition 5.6] that the braid index of $k(l, m, n, 0)$ is $2lm - 1$ (resp. $2|lm| + 1$) if $l > 0, m > 0$ (resp. $l > 0, m < 0$), and that of $k(l, m, 0, p)$ is $2lm - l - 1$ (resp. $2|lm| + l + 1$) if $l > 0, m > 0$ (resp. $l > 0, m < 0$). Hence there are infinitely many knots satisfying the conditions in Theorem 3.3.

The assumption in Theorem 3.3 that the indices of the exceptional fibers in $\widetilde{B}_A(s)$ and $\widetilde{B}_B(s)$ are not equal is not a necessary condition for $(k(A, B, C), \gamma_s)$ to have distinct primitive/Seifert positions. Refer to Section 4.

Proof of Theorem 3.3. Let s be 0 or 1. If $s = 0$, let S be the 2-sphere in S^3 shown in Figure 9(i), and if $s = 1$, let S be the 2-sphere in S^3 shown in Figure 9(ii). In either case let Q_i ($i = 1, 2$) be the 3-balls bounded by S as in Figure 9. Note that Figure 9 also describes the union of the tangles $\mathcal{B}(A, B, C) = (B_1, t_1)$ and $R(\infty) = (B_2, t_2)$, and $t_1 \cup t_2 = \tau_\infty$. However, τ_∞ in Figure 9(ii) is obtained by turning back a portion of τ_∞ in Figure 6. The tangles $(Q_i, Q_i \cap \tau_\infty)$ ($i = 1, 2$) are 3-string trivial tangles. Hence, the two-fold branched covering $\widetilde{Q}_1 \cup \widetilde{Q}_2$ gives a genus 2 Heegaard splitting of $S^3 = \widetilde{S}^3$, and $\widetilde{S} = \widetilde{Q}_1 \cap \widetilde{Q}_2$ is a genus 2 Heegaard surface. Note that $S \cap B_2$ is a disk intersecting t_2 transversely in two points and containing the arc κ . This implies that the annulus $\widetilde{S} \cap \widetilde{B}_2$ is a tubular neighborhood of the knot $k(A, B, C) = \widetilde{\kappa}$ in the Heegaard surface \widetilde{S} . Hence, a component of $\widetilde{S} \cap \partial \widetilde{B}_2$ is a simple closed curve in $\partial \widetilde{B}_2 = \partial N(k(A, B, C))$ representing the surface slope of $k(A, B, C)$

in the Heegaard surface \tilde{S} .

Now let us show that the surface slope of $k(A, B, C)$ in \tilde{S} coincides with the surgery slope γ_s . Recall that γ_s -surgery on $k(A, B, C)$ corresponds to replacing $R(\infty)$ with $R(s)$. The disk $S \cap B_2$ in \tilde{S} is, as shown in Figure 9, a “horizontal” disk properly embedded in B_2 . If $s = 0$ and so S is as in Figure 9(i), then we may assume that $S \cap B_2$ separates the components of t_2 in $R(0)$ after replaced; see Figure 10. It follows that $\widetilde{S \cap \partial B_2}$ in $\partial \widetilde{B_2}$ represents the surgery slope γ_s , so that the surface slope of $k(A, B, C)$ in \tilde{S} coincides with γ_0 as desired. So assume that $s = 1$ and S is as in Figure 9(ii). We need to see that the disk $S \cap B_2$ separates the components of t_2 in $R(1)$ attached to $\mathcal{B}(A, B, C)$ in Figures 6. The first isotopy in Figure 8 turns back a portion of τ_1 . Then, in the second figure of Figure 8, t_2 in $R(1)$ becomes horizontal arcs. Hence we may assume that the horizontal disk $S \cap B_2$ in Figure 9(ii) separates the components of t_2 in $R(1)$; see Figure 10. This implies that a component of $\widetilde{S \cap \partial B_2}$ also represents the surgery slope γ_1 as desired.

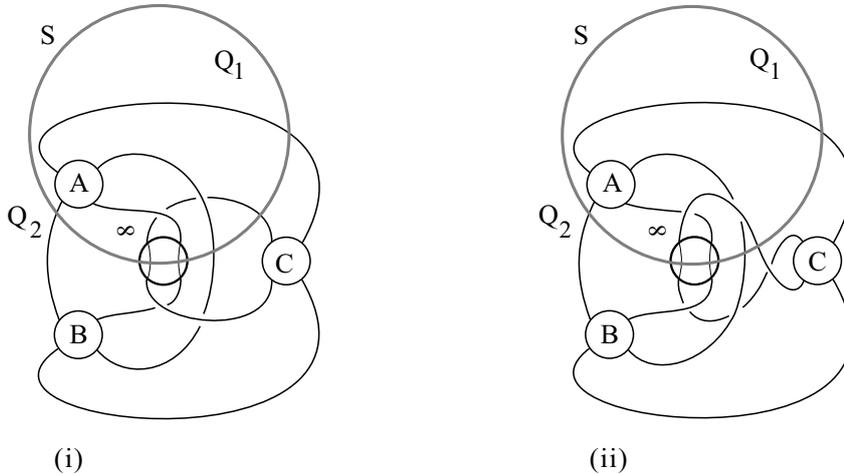


Fig. 9. $\mathcal{B}(A, B, C) \cup R(\infty)$

Lemma 3.4 *The knot $K = k(A, B, C)$ is in a primitive/Seifert position in \tilde{S} with γ_s ($s = 0, 1$) the surface slope, whose index set is the set of indices of exceptional fibers in $\tilde{S}^3(s)$ corresponding to B_B, B_C .*

Proof of Lemma 3.4. We have already shown that the surface slope of K in \tilde{S} coincides with the surgery slope γ_s . We show that K is primitive with respect to the genus 2 handlebody $\widetilde{Q_1}$, and Seifert with respect to $\widetilde{Q_2}$. First consider $(S^3, \tau_s) = \mathcal{B}(A, B, C) \cup R(s)$. The 2-sphere S decomposes (S^3, τ_s) into two 2-string tangles $(Q_1, Q_1 \cap \tau_s)$ and $(Q_2, Q_2 \cap \tau_s)$; $(Q_1, Q_1 \cap \tau_s)$ is a rational tangle, and $(Q_2, Q_2 \cap \tau_s)$ is a partial sum of two rational tangles $(B_B, B_B \cap \tau_s)$ and $(B_C, B_C \cap \tau_s)$ in Figures 7, 8. This implies that $\widetilde{Q_2}(s)$ is a Seifert fiber space over the disk whose exceptional fibers are the cores of $\widetilde{B_B}(s), \widetilde{B_C}(s)$.

To complete the proof we prove $\widetilde{Q}_i(s) \cong \widetilde{Q}_i[K]$. We consider $(S^3, \tau_\infty) = \mathcal{B}(A, B, C) \cup R(\infty)$ again. The disk $Q_i \cap \partial B_1$ decomposes Q_i into two 3-balls $Q_i \cap B_1$ and $Q_i \cap B_2$, so that $\widetilde{Q}_i(s) = \widetilde{Q}_i \cap B_1(s) \cup \widetilde{Q}_i \cap B_2(s)$. Note that $B_1 \cap \tau_s = B_1 \cap \tau_\infty$, and τ_s intersects $Q_i \cap B_2$ in an arc whose end points lie in $Q_i \cap \partial B_1$. Hence, $\widetilde{Q}_i \cap B_1(s) = \widetilde{Q}_i \cap B_1$, and $\widetilde{Q}_i \cap B_2(s)$ is a 3-ball attached to $\widetilde{Q}_i \cap B_1$ along the annulus $Q_i \cap \partial B_1$. In other words, $\widetilde{Q}_i(s)$ is obtained from $\widetilde{Q}_i \cap B_1$ by attaching a 2-handle along the annulus $Q_i \cap \partial B_1$. Now replacing $R(s)$ with $R(\infty)$ again, let us see the relation between \widetilde{Q}_i and $Q_i \cap B_1$. It is not difficult to see the pairwise homeomorphism $(Q_i \cap B_2, Q_i \cap \partial B_2, Q_i \cap B_2 \cap \tau_\infty) \cong (D^2 \times [0, 1], D^2 \times \{1\}, \{x_1, x_2\} \times [0, 1])$, where $x_1, x_2 \in \text{int} D^2$. This shows that $Q_i \cap \partial B_2$ is a properly embedded annulus in \widetilde{Q}_i parallel to $\widetilde{S} \cap B_2$, a tubular neighborhood of K in \widetilde{S} . Hence, there is a pairwise homeomorphism from $(\widetilde{Q}_i \cap B_1, \widetilde{Q}_i \cap \partial B_1)$ to $(\widetilde{Q}_i, \widetilde{S} \cap B_2)$. This implies $\widetilde{Q}_i(s) \cong \widetilde{Q}_i[K]$ as desired. \square (Lemma 3.4)

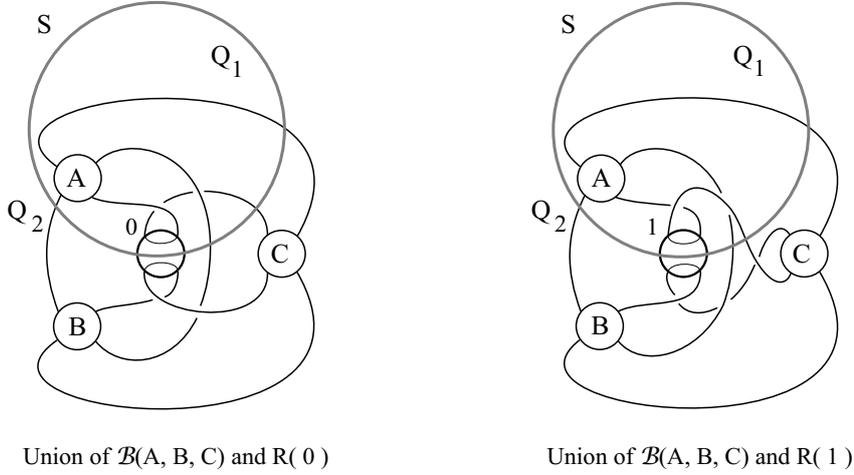


Fig. 10.

To find yet another primitive/Seifert position of $(k(A, B, C), \gamma_s)$, take the 2-sphere S' in S^3 as in (i) or (ii) of Figure 11 according as $s = 0$ or 1 . Let Q'_i ($i = 1, 2$) be the 3-balls bounded by S' as in Figure 11. Then, we can apply the arguments in the first and second paragraphs of the proof of Theorem 3.3 to S', Q'_1, Q'_2 instead of S, Q_1, Q_2 . It follows that $\widetilde{Q}'_1 \cup \widetilde{Q}'_2$ is a genus 2 Heegaard splitting of $\widetilde{S}^3 = S^3$ with \widetilde{S}' the Heegaard surface, and $k(A, B, C)$ is contained in \widetilde{S}' with γ_s ($s = 0, 1$) the surface slope.

We can also apply most of the arguments in the proof of Lemma 3.4. The only difference is the fact $(Q'_2, Q'_2 \cap \tau_s)$ is a partial sum of $(B_A, B_A \cap \tau_s)$ and $(B_C, B_C \cap \tau_s)$ instead of $(B_B, B_B \cap \tau_s)$ and $(B_C, B_C \cap \tau_s)$; see Figure 12. Therefore, we obtain Lemma 3.5 below.

Lemma 3.5 *The knot $k(A, B, C)$ is in a primitive/Seifert position in \widetilde{S}' with*

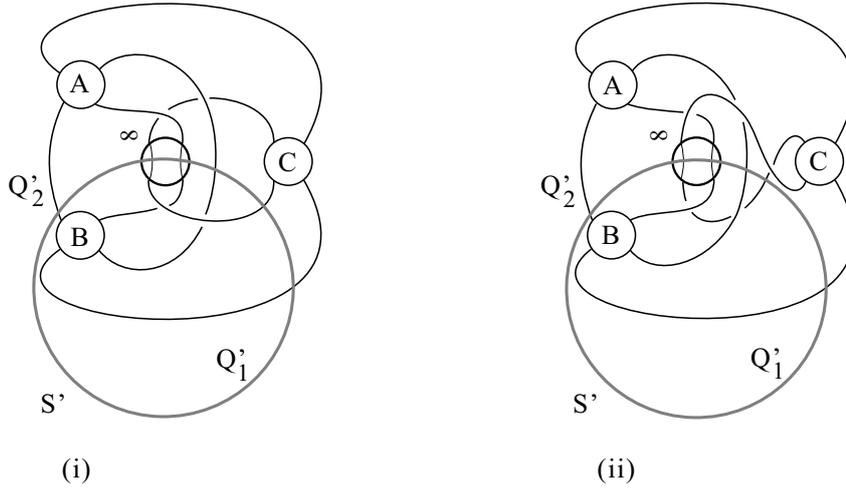


Fig. 11. $\mathcal{B}(A, B, C) \cup R(\infty)$

γ_s ($s = 0, 1$) the surface slope, whose index set is the set of indices of exceptional fibers in $\tilde{S}^3(s)$ corresponding to B_A, B_C .

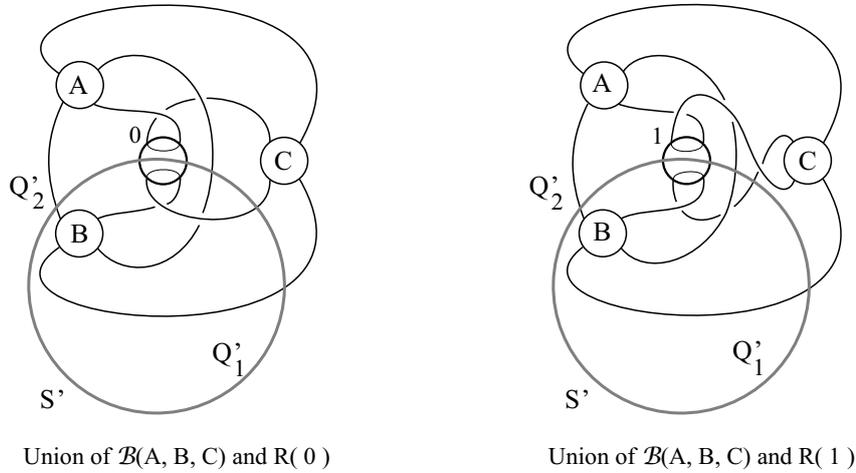


Fig. 12.

Recall that by the assumption of Theorem 3.3 together with Lemma 3.2, the indices of the exceptional fibers of $\tilde{S}^3(s) = k(A, B, C)(\gamma_s)$ corresponding to B_A and B_B are not equal. Lemmas 3.4 and 3.5 then imply that the index sets of the primitive/Seifert positions $(\tilde{S}, k(A, B, C), \gamma_s)$ and $(\tilde{S}', k(A, B, C), \gamma_s)$ are not equal. Hence, by Lemma 1.3 these are distinct primitive/Seifert positions for $(k(A, B, C), \gamma_s)$. This completes the proof of Theorem 3.3. \square (Theorem 3.3)

Remark 3.6 It follows from Lemma 3.2 that the set $\{k(A, B, C)(\gamma_s)\}$ ($s = 0, 1$) consists of infinitely many Seifert fiber spaces. If two Seifert fibered surgeries $(k(A, B, C), \gamma_s)$ and $(k(A', B', C'), \gamma'_s)$ are the same, then $k(A, B, C)(\gamma_s)$ and $k(A', B', C')(\gamma'_s)$ are homeomorphic. Thus the set $\{(k(A, B, C), \gamma_s)\}$ contains infinitely many Seifert fibered surgeries.

4 Questions

In Theorems 2.1 and 3.3, Seifert fibered surgeries with distinct primitive/Seifert positions have distinct index sets. However, this is not always the case. Consider the Seifert fibered surgery $(k(2, 4, n, 0), \gamma_1)$ in Section 3. The result of γ_1 -surgery on $K = k(2, 4, n, 0)$ is a Seifert fiber space with the base orbifold $S^2(\frac{-1}{3}, \frac{4}{3}, \frac{16n-7}{9n-4})$. Following Lemma 3.4, we see that (K, γ_1) has a primitive/Seifert position (\tilde{S}, K, γ_1) such that $\tilde{Q}_2[K]$ is a Seifert fiber space over the disk with Seifert invariants $\frac{4}{3}, \frac{16n-7}{9n-4}$, where \tilde{Q}_2 is a genus 2 handlebody bounded by \tilde{S} . Similarly, Lemma 3.5 shows that (K, γ_1) has a primitive/Seifert position $(\tilde{S}', K, \gamma_1)$ such that $\tilde{Q}'_2[K]$ is a Seifert fiber space over the disk with Seifert invariants $\frac{-1}{3}, \frac{16n-7}{9n-4}$, where \tilde{Q}'_2 is a genus 2 handlebody bounded by \tilde{S}' . Thus $i(\tilde{S}, K, \gamma_1) = i(\tilde{S}', K, \gamma_1) = \{3, |9n - 4|\}$. If (\tilde{S}, K, γ_1) and $(\tilde{S}', K, \gamma_1)$ were the same, then following the argument in the proof of Lemma 1.3, we would have an orientation preserving homeomorphism from $\tilde{Q}_2[K]$ to $\tilde{Q}'_2[K]$; by [10, VI.18.Theorem] the homeomorphism is fiber preserving up to isotopy. However, since $\frac{4}{3} \not\equiv \frac{-1}{3} \pmod{1}$, there is no such a homeomorphism [9, Proposition 2.1]. Hence the primitive/Seifert positions $(\tilde{S}, k(2, 4, n, 0), \gamma_1)$ and $(\tilde{S}', k(2, 4, n, 0), \gamma_1)$ are distinct.

Question 4.1 Does there exist a Seifert fibered surgery which has distinct primitive/Seifert positions (F_1, K_1, m) and (F_2, K_2, m) satisfying the following condition?

Condition. Let W_i ($i = 1, 2$) be a genus 2 handlebody bounded by F_i with respect to which $K_i(\subset \partial W_i)$ is Seifert. Then there is an orientation preserving homeomorphism from $W_1[K_1]$ to $W_2[K_2]$.

Even if a Seifert fibered surgery (K, m) has distinct primitive/Seifert positions, we expect that the number of such positions is not so large. In fact, we do not even have an example of a Seifert fibered surgery which has three primitive/Seifert positions.

Question 4.2 Does there exist a universal bound for the number of primitive/Seifert positions for a Seifert fibered surgery?

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